

CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

[OMB CONTROL NUMBER 1506-0043]

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United State Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or broker-dealer in securities (a **covered financial institution** as defined in 31 CFR 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 CFR 1010.100(u)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 CFR 1010.100(d)).¹

A **correspondent account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instructions for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United State is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instructions for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institution, JYSKE BANK A/S (“**Foreign Bank**”) hereby certifies as follows:

¹ A “foreign bank” does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

B. Correspondent Accounts Covered by this Certification: Check one box.

This Certification applies to all accounts established for Foreign Bank by Covered Financial Institutions.

This Certification applies to Correspondent Accounts established by _____ (name of Covered Financial Institution(s)) for Foreign Bank.

C. Physical Presence/Regulated Affiliate Status: Check one box and complete the blanks.

Foreign Banks maintains a **physical presence** in any country. That means:

- Foreign Bank has a place of business at the following street address: VESTERGADE 8-16, 8600 SILKEBORG, where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
- The above address is in DENMARK (insert country), where Foreign Bank is authorized to conduct banking activities.
- Foreign Bank is subject to inspection by FINANSTILSYNET, (insert Banking Authority), the banking authority that licensed Foreign Bank to conduct banking activities.

Foreign Bank does not have a physical presence in any country, but Foreign Bank is a **regulated affiliate**. That means:

- Foreign Bank is an affiliate of a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address: _____, where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities.
- The above address is in _____ (insert country), where the depository institution, credit union, or foreign bank is authorized to conduct banking activities.
- Foreign Bank is subject to supervision by _____, (insert Banking Authority), the same banking authority that regulates the depository institution, credit union, or foreign bank.

Foreign Bank does **not** have a physical presence in a country and is **not** a regulated affiliate.

D. Indirect Use of Correspondent Accounts: Check box to certify.

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank

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hereby certifies that it does **not** use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information: Check box 1 or 2 below, **if applicable.**

- 1. **Form FR Y-7 is on file.** Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.
- 2. **Foreign Bank's shares are publicly traded.** Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

If **neither** box 1 or 2 of Part E is checked, complete Item 3 below, **if applicable.**

- 3. Foreign Bank has no **owner(s)** except as set forth below. For purposes of this Certification, **owner** means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or other voting interests** means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family² shall be considered one **person**.

Name	Address

² The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.



F. Process Agent: complete the following.

The following individual or entity: MICHAEL SAVAGE, SICHENZIA, ROSS, FERENCZ, KESNER LL is a resident of the United States at the following street address:

1185, AVENUE OF THE AMERKAS, 37th, NEW YORK, NY 10036, and is authorized to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

G. General

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, SØREN SKYUM ELBERT (name of signatory), certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.

JYSKE BANK A/S
[Name of Foreign Bank]


[Signature] Søren Skyum Elbert
Områdedirektør, Compliance
JYSKE BANK

SØREN SKYUM ELBERT
[Printed Name]

HEAD OF COMPLIANCE
[Title]

Executed on this 16/4-2020 day of _____, 20__.

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Received and reviewed by:

Name: _____

Title: _____

For: _____

[Name of Covered Financial Institution]

Date: _____

[67 FR 60573, Sept. 26, 2002]

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Branch Certifications

To include Branch Certifications with the certification of the parent, please include the following information for **each** Branch. Indicate either that the Branch maintains a Physical Presence in the country indicated, OR that the Branch is a regulated affiliate, OR neither.

Name of Branch	Hamburg Branch
Street Address (Not a Postal Box)	Ballindamm 13, 20023 Hamburg
Country	Germany
Banking Authority with Jurisdiction	The Danish Financial Supervisory Authority /The Federal Financial Supervisory Authority (Bundesanstalt für Finanzdienstleistungsaufsicht)
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence <input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither	

Name of Branch	Frankfurt betriebsstätte, under Hamburg Branch
Street Address (Not a Postal Box)	Westendstrasse 19, 60325 Frankfurt am Main
Country	Germany
Banking Authority with Jurisdiction	The Danish Financial Supervisory Authority /The Federal Financial Supervisory Authority (Bundesanstalt für Finanzdienstleistungsaufsicht)
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence <input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither	

Name of Branch	Nice Branch
Street Address (Not a Postal Box)	16, Rue de la Liberté, 06000 Nice
Country	France
Banking Authority with Jurisdiction	The Danish Financial Supervisory Authority / Banque de France
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence <input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither	

Name of Branch	See list of domestic branches herunder
Street Address (Not a Postal Box)	See below
Country	Denmark
Banking Authority with Jurisdiction	The Danish Financial Supervisory Authority
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence <input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither	

<u>Name of Branch</u>	<u>Street Address</u>
Aabenraa	Madevej 45, 6200 Aabenraa
Aabyhoej	Silkeborgvej 224, 8230 Åbyhøj
Aalborg	Nytorv 1, 9000 Aalborg Hadsundvej 27, 9000 Aalborg Thulebakken 44, 9000 Aalborg Toldbod Plads 1, 9000 Aalborg
Aarhus	Østergade 4, 8000 Århus C Randersvej 37, 8200 Århus N
Alleroed	Frederiksborgvej 27, 3450 Allerød
Bagsvaerd	Bagsværd Hovedgade 119, 2880 Bagsværd
Ballerup	Sct. Jacobsvej 4, 2750 Ballerup
Birkerød	Hovedgaden 28, 3460 Birkerød
Bjerringbro	Torvegade 11, 8850 Bjerringbro
Braedstrup	Bredgade 26, 8740 Brædstrup
Broenderslev	Bredgade 13, 9700 Brønderslev
Broenshoej	Frederikssundsvej 202D, 2700 Brønshøj
Charlottenlund	Jægersborg Alle 23, 2920 Charlottenlund
<u>Copenhagen</u>	
Amager	Amagerbrogade 67, 2300 Copenhagen S
Frederiksberg	Gl. Kongevej 136, 1850 Frederiksberg C.
Kongens Nytorv	St. Kongensgade 1, 1264 Copenhagen K
Noerrebro	Nørrebrogade 201, 2200 Copenhagen N
Oesterbro	Østerbrogade 25, 2100 Copenhagen Ø
Vesterbrogade	Vesterbrogade 9, 1780 Copenhagen V
Ejstrupholm	Vestergade 1, 7361 Ejstrupholm
Esbjerg	Torvet 21, 6700 Esbjerg
Farum	Rådhusstorvet 1, 3520 Farum
Faaborg	Kanalvej 1, 5600 Fåborg
Fredericia	Danmarksstræde 22, 7000 Fredericia
Frederikshavn	Rådhus Allé 77A, 9900 Frederikshavn
Frederikssund	Østergade 15, 3600 Frederikssund
Frederiksværk	Nørregade 32, 3300 Frederiksværk

<u>Name of Branch</u>	<u>Street Address</u>
Glostrup	Hovedvejen 99, 2600 Glostrup
Grenaa	Nytorv 5, 8500 Grenaa
Greve	Hundige Strandvej 199, 2670 Greve
Haderslev	Nørregade 22, 6100 Haderslev
Hammel	Banealle 6, 8450 Hammel
Hellerup	Strandvejen 112, 2900 Hellerup
Helsingør	I.L. Tvedesvej 7, 3000 Helsingør
Herlev	Herlev Hovedgade 108, 2730 Herlev
Herning	Sølvgade 24, 7400 Herning
Hilleroed	Slotsgade 19, 3400 Hillerød
Hirtshals	Nørregade 13, 9850 Hirtshals
Hjørring	Østergade 19-23, 9800 Hjørring
Hobro	Adelgade 10, 9500 Hobro
Højbjerg	Oddervej 64, 8270 Højbjerg
Hoersholm	Hovedgaden 33-35, 2970 Hørsholm
Holbæk	Bysøstræde 10, 4300 Holbæk
Holstebro	St. Torv 1, 7500 Holstebro
Horsens	Søndergade 42, 8700 Horsens
Hvidovre	Hvidovrevej 87A, 2650 Hvidovre
Ikast	Østergade 3, 7430 Ikast
Kalundborg	Nytorv 12, 4400 Kalundborg
Kjellerup	Søndergade 1, 8620 Kjellerup
Køge	Fændediget 1A, 4600 Køge
Kolding	Jernbanegade 5, 6000 Kolding
Korsør	Nygade 1, 4220 Korsør
Lyngby	Klampenborgvej 244, 2800 Lyngby
Maribo	Østergade 2, 4923 Maribo
Middelfart	Algade 68, 5500 Middelfart
Næstved	Østergade 2, 4700 Næstved
Nakskov	Nygade 4, 4900 Nakskov
Nyborg	Baggersgade 2, 5800 Nyborg
Nykøbing Falster	Jernbanegade 19, 4800 Nykøbing Falster Toldbodgade 25, 4800 Nykøbing Falster
Nykøbing Mors	Algade 28, 7900 Nykøbing Mors
Odder	Rosensgade 22, 8300 Odder
Odense	Mageløs 8, 5100 Odense C Dalumvej 34A, 5250 Odense SV
Randers	Dytmærskens 9, 8900 Randers
Ribe	Seminarievej 4, 6760 Ribe
Ringkøbing	Nygade 22, 6950 Ringkøbing
Ringsted	Nørregade 6, 4100 Ringsted
Risskov	Voldbjergvej 2, 8240 Risskov
Roskilde	Algade 24, 4000 Roskilde
Ry	Skanderborgvej 5, 8680 Ry
Samsø	Langgade 20, Tranebjerg, 8305 Samsø



<u>Name of Branch</u>	<u>Street Address</u>
<u>Silkeborg</u>	
Alderslyst	Borgergade 24, 8600 Silkeborg
Vestergade	Vestergade 8-10, 8600 Silkeborg
Sindal	Nørregade 8, 9870 Sindal
Skagen	Sct. Laurentivej 32, 9900 Skagen
Skanderborg	Adelgade 57, 8660 Skanderborg
Skive	Posthustorvet 4, 7800 Skive
Slagelse	Gl. Torv 5, 4200 Slagelse
Soeborg	Søborg Hovedgade 88, 2860 Søborg
Soenderborg	Perlegade 81A, 6400 Sønderborg
Struer	Østergade 3, 7600 Struer
Svendborg	Vestergade 11, 5700 Svendborg
Taastrup	Taastrup Hovedgade 56, 2630 Taastrup
Thisted	Vestergade 40, 7700 Thisted
Valby	Valby Langgade 21A, 2500 Valby
Vanløse	Jernbane Alle 56A, 2720 Vanløse
Varde	Lerpøtvej 1, 6800 Varde
Vejle	Enghavevej 32, 7100 Vejle
Viborg	Sct. Mathiasgade 21, 8800 Viborg
Viby	Skanderborgvej 181, 8260 Viby J.
Vordingborg	Algade 57, 4760 Vordingborg